IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

Serial No.:

Filed:

Atty. File No.: 7090-1-CH

For: METHOD FOR ELECTROCOAGULATION)

OF LIQUIDS

**Assistant Commissioner for Patents** 

Washington, D.C. 20231 **Box: Patent Application** 

Dear Sir:

Group Art Unit:

Examiner: NICO

INFORMATION DISCLOSU **STATEMENT** 

"EXPRESS MAIL" MAILING LABEL NUMBER: EL 767780863 US DATE OF DEPOSIT: 1/15/02

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 C.F.R. 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

TYPED OR PRINTED NAME: Christine Jacque

Pursuant to Applicants' duty of disclosure under 37 CFR § 1.56 and 37 CFR §§ 1.97-1.98, Applicants hereby submit the enclosed PTO Form 1449. The documents identified herein were of record in U.S. Patent Application Serial No. 09/644,513, filed August 24, 2000, entitled "Method for Electrocoagulation of Liquids", and since the above-identified patent application is a continuation-in-part thereof, copies of the identified documents are not being submitted under 37 CFR § 1.98(d). Applicants do not admit that any of such documents, alone or in any combination, are considered to be material to patentability as defined in 37 CFR § 1.56(b). Moreover, the inclusion of these documents is not to be construed as an admission by Applicants that each such document is prior art as to the above-identified patent application.

Respectfully submitted,

SHERIDAN ROSS P.C.

Registration No. 38,031

1560 Broadway, Suite 1200

Denver, Colorado 80202-5141

(303) 863-9700

Date: \_ 1/15/02

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

ATTY. DOCKET NO. 7090-1-CIP	SERIAL NO. Not yet assigned	
APPLICANT POWELL	125 1125	03
FILING DATE Herewith	GROUP ART	F/15)
	m=1	=0

## **U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.
	AA	3,925,176	12/9/75	Okert	204	152	
	АВ	4,321,125	3/1982	Nazarian et al.	204	276	
	AC	4,329,211	5/1982	Plantes et al.	205	755	
	AD	4,790,923	12/1988	Stillman	204	268	
	AE	5,302,273	4/12/94	Kemmerer	204	305	
	AF	5,423,962	6/13/95	Herbst	204	149	
	AG	5,611,907	3/18/97	Herbst et al.	205	742	

## FOREIGN PATENT DOCUMENTS

					SUB CLASS	TRANSLATION	
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS		YES	NO
АН	DE 1,526,687	9/27/98	Germany				
Al	DE 2832664 C2	7/17/86	Germany				
LA	WO 94 00860	1/6/94	PCT				
AK	WO 96/17667	6/13/96	. PCT				
AL	WO 96/40591	12/19/96	EPO				

EXAMINER DATE CONSIDERE	
	D

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**FORM PTO-1449** 

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

7090-1-CIP	Not yet assigned	_
ATTY. DOCKET NO. 7090-1-CIP	SERIAL NO. Not yet assigned	

PPLICANT POWELL

FILING DATE Herewith

GROUP ART

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

АМ	Horn; "Informational Memorandum for the Deputy Secretary of Agriculture of the U.S. re: Electrocoagulation"; December 11, 1995.
AN	Woytowich et al.; "Electrocoagulation (CURE) Treatment of Ship Bilgewater for the U.S. Coast Guard in Alaska"; MTS Journal, Vol. 2, No. 1; pps. 63-67; no month available.
AO	Smith; "Applications of New Concepts of Phusical-Chemical Wastewater Treatment"; Progress in Water Technology, Vol. 1, Pergamon Press, edited by W.W. Eckenfelder et al., paper presented at Vanderbilt University, sponsored by the International Association on Water Pollution Research & the American Institute of Chemical Engineers, September 18-22, 1972.
AP	Barkley et al.; "Emerging Technology Summary-Electro-Pure Alternating Current Electrocoagulation"; Superfund Innovative Technology Evaluation, EPA/640/S-937507; September 1993.

**EXAMINER** 

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.